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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lian, *et al.*

Art Unit: 2815

Serial No.: 09/751,551

Examiner: Edgardo Ortiz

Filed: 12/28/2000

Docket: 00 P 9119 US

For: Multi-Layer Pt Electrode For DRAM and FRAM with High K Dielectric Materials

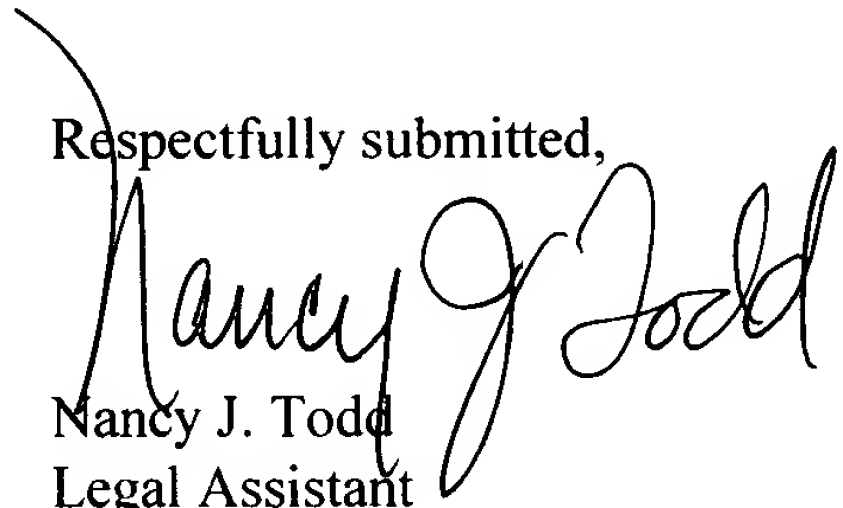
Certificate of Mailing via First Class Mail

Date of Deposit: September 13, 2002

I hereby certify that the below listed correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Commissioner for Patents, Washington, DC 20231.

- Certificate of Mailing via First Class Mail
- Amendment Transmittal Letter and Fee Sheet (1 page)
- Amendment Pursuant To 37 C.F.R. § 1.111 (11 pages) in response to
June 14, 2002 Office Action
- Two (2) Return Postcards

Respectfully submitted,

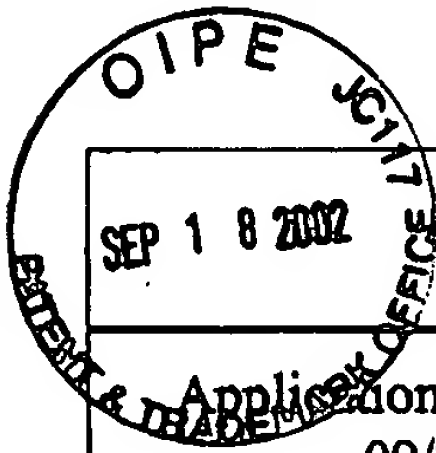

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AMENDMENT TRANSMITTAL LETTER

Atty Docket No: 00 P 9119 US

Application Serial Number:
09/751,551Filing Date:
12/28/2000Examiner:
Edgardo OrtizGroup Art Unit:
2815

Invention: Multi-Layer Pt Electrode For DRAM and FRAM with High K Dielectric Materials

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an amendment in the above-identified application. The fee has been calculated as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	NO. OF EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	23	MINUS	20	3	\$18	\$ 54.00
INDEP. CLAIMS	4	MINUS	3	1	\$84	\$ 84.00

_____ Petition is hereby made under 37 C.F.R. §1.136(a) to extend the time for response to the Office Action of 6/14/2002 to and through _____, comprising an extension of the shortened statutory period of:

_____ one month (\$110)

_____ three months (\$920)

_____ two months (\$400)

_____ four months (\$1,440)

TOTAL ADDITIONAL FEE FOR THIS AMENDMENT

\$138.00

_____ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 has been established by a verified statement previously submitted.

_____ A verified statement to establish small entity status under 37 C.F.R. §1.9 and §1.27 is enclosed.

_____ A check in the amount of \$ _____ is attached.

X Charge \$ 138.00 ^{8/13/02} to Deposit Account 19-2179.

X Charge any additional fee(s) during the pendency of this application to the above deposit account.

X Credit any overpayments to the above Deposit Account.

_____ No additional fee is required.

September 13, 2002

Date

Stanton C. Braden

Registration No. 32,556

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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9/23/02

John Th

Applicants: Jingyu Lian, *et al.*

Art Unit: 2815

Serial No.: 09/751,551

Examiner: Ortiz, Edgardo

Filing Date: December 28, 2000

Docket No.: 00 P 9119 US

For: MULTI-LAYER Pt ELECTRODE FOR DRAM AND FRAM
WITH HIGH K DIELECTRIC MATERIALS

Commissioner for Patents

Washington, D.C. 20231

Amendment Pursuant to 37 C.F.R. § 1.111

Dear Sir:

This response is being filed in response to the Office Action mailed on June 14, 2002.

A marked up version of the claims is included as Appendix A, and a marked up version of the specification is included as Appendix B. Favorable consideration of the above-referenced patent application is respectfully requested.

Please amend the above-referenced application as follows:

In the claims:

Please amend Claim 8, and add Claims 25-30 as follows:

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02 FC:102 84.00 CH